



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Otero et al.	:	
	:	Art Unit: Not Yet Assigned
Application No. : Not Yet Assigned	:	
	:	Examiner: Not Yet Assigned
Filed: Herewith	:	
	:	
FOR: APPARATUS, METHODS AND	:	
ARTICLES OF MANUFACTURE FOR	:	
CONSTRUCTING AND EXECUTING	:	
COMPUTERIZED TRANSACTION	:	
PROCESSES AND PROGRAMS	:	

BOX PATENT APPLICATION
Assistant Commissioner for Patents
Washington, DC 20231

**PETITION TO MAKE SPECIAL FOR NEW APPLICATION
UNDER MPEP § 708.02, III**

1. Petition

Applicant(s) hereby petition to make this new application, which has not received any examination by the Examiner, special.

2. Claims *(check and complete all applicable items (a) through (c))*

- (a) ☐ All the claims in this case are directed to a single invention.
- (b) ☒ If the Office determines that all the claims presented are not obviously directed to a single invention, then Applicants will make an election without traverse as a prerequisite to the grant of special status.
- (c) ☐ If claim(s) _____ are found not be examinable in this case with claim(s) _____, then applicant hereby elects claims(s) _____ for the prosecution of this case.

3. Search *(check and complete all applicable items (d) through (g))*

A search has been made by:

- (d) ☐ the inventor
- (e) ☒ attorney
- (f) ☐ professional searcher
- (g) ☐ foreign patent office

in the following:

- (h) ☒ field of search: Finance, computerized trading, portfolio selection, trading matching and bidding
class(es) 705 subclasses: 35 - 37

- (i) ☐ publications: _____

- (j) ☐ foreign patents: _____

- (k) ☐ search by corresponding foreign patent office

4. Copy of references

There is submitted herewith a copy of the references deemed most closely related to the subject matter encompassed by the claims.

☒ Also attached are Forms PTO/SB/08A/B (PTO-1449)

5. Detailed discussion of the references

There is submitted herewith as APPENDIX A detailed discussion of the references, which discussion particularly points out how the claimed subject matter is distinguishable over the references.

Note: As to all other references not treated above, an Information disclosure Statement should be filed.

Note: Where there is an intention of overcoming one of the references by a Declaration under 37 CFR 1.131, the Declaration must be submitted before the application is taken up for action, but in no event later than one month after the request for special status.

☒ Also attached is an Information Disclosure Statement.

6. Fee

The fee required by 37 CFR 1.17(i) is to be paid by:

☒ the attached check for \$130.00.

☐ Please charge any additional fees or credit any overpayment to Deposit Account No. 50-0979. A duplicate copy of this letter is attached for charging purposes.

Date:

1/31/2001

Respectfully submitted,



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